



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Fallaux et al.

Serial No.: 10/618,526

**Filed:** July 11, 2003

For: PACKAGING SYSTEMS FOR

HUMAN RECOMBINANT ADENOVIRUS

TO BE USED IN GENE THERAPY

Confirmation No.: 5055

Examiner: S. Priebe, Ph.D.

Group Art Unit: 1633

Attorney Docket No.: 2578-3833.9US

NOTICE OF EXPRESS MAILING

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO/SB/08 be considered by the Examiner and made of record. Copies of any cited foreign patents, publications, or pending unpublished U.S. applications are enclosed pursuant to 37 C.F.R. § 1.98(a)(2).

Pursuant to 37 C.F.R. § 1.98(d), a copy of any patent, publication or other information listed in the Information Disclosure Statement is not required to be provided if it was previously cited by or submitted to the office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. § 120.

Accordingly, no copy of information marked with a pound sign (#) is enclosed because it was previously cited or submitted to the patent office in a prior application which is properly identified above, and is relied upon for an earlier filing date. The references are as follows:

## Other Documents

#Submissions of Patentee to the European opposition proceedings, received at the EPO on Sep 25, 2006, including one cited document: ZAVIZION et al., Transformation of Human Corneal Endothelial Cells by Microinjection of Oncogenes, 1990, Bull Exp Biol Med, pp. 519-22, Vol. 109, Plenum Publishing corporation (listed separately below).

#ZAVIZION et al., Transformation of Human Corneal Endothelial Cells by Microinjection of Oncogenes, 1990, Bull Exp Biol Med, pp. 519-22, Vol. 109, Plenum Publishing corporation.

#Submissions of Opponent Serono International to the European opposition proceedings, received at the EPO on Sep 22, 2006.

#ULFENDAHL et al., A novel adenovirus-2 E1A mRNA encoding a protein with transcription activation properties, The EMBO Journal, 1987, pp. 2037-44, Vol. 6, No. 7, IRL Press Limited, Oxford, England.

#Declaration of Amine Kamen, including six exhibits: (1) Conference schedule of sixth conference on Protein Expression in Animal Cells (6th PEACe) held in Mont-Tremblant, Canada, September 7-11, 2003; (2) Abstract of Presentation of Dr. van der Eb entitled "Isolation of adenovirus E1-transformed human cell lines; PER.C6<sup>TM</sup> as a platform for production of proteins; (3) SHAW et al., Preferential transformation of human neuronal cells by human adenoviruses and the origin of HEK 293 cells, FASEB Journal, pp. 869-87, Vol. 16 (listed below separately); (4) BYRD et al., Malignant transformation of human embryo retinoblasts by cloned adenovirus 12 DNA, Nature, 1 July 1982, pp. 69-71, Vol. 298 (listed below separately); (5) SCHIEDNER et al., Efficient Transformation of Primary Human Amniocytes by E1 Functions of Ad5: Generation of New Cell Lines for Adenoviral Vector Production, Human Gene Ther., 2000, pp. 2105-16, Vol. 11 (listed below separately).

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#Cell line: 293, Cell type: human embryonal kidney, copyright 2004 DSMZ GmbH, <a href="http://www.dsmz.de/human\_and\_animal\_cell\_lines/info.php?dsmz\_nr\_305&term=293&highlight">http://www.dsmz.de/human\_and\_animal\_cell\_lines/info.php?dsmz\_nr\_305&term=293&highlight>.

#PER.C6TM Cell Line (Crucell), printout of the third slide of the

www.niaid.hib.gov/hivvaccines/pdf/Ledwith.pdf

<a href="http://www.niaid.hih.gov/hivvaccines/pdf/Ledwith.pdf">http://www.niaid.hih.gov/hivvaccines/pdf/Ledwith.pdf</a>>.

#MATSUI et al., Adenovirus 2 Peptide IX Gene Is Expressed Only on Replicated DNA Molecules, Molecular and Cellular Biology, Dec. 1986, pp. 4149-54, Vol. 6, No. 12.

#RICE et al., Multiple Effects on the 72-kDa, Adenovirus-Specified DNA Binding Protein on the Efficiency of Cellular Transformation, Virology, 1987, pp. 366-76, Vol. 156.

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#KROUGLIAK et al., Development of Cell Linds Capable of Complementing E1, E4 and Protein IX Defective Adenovirus Type 5 Mutants, Human Gene Therapy, December 1995, pp. 1575-1586, Vol. 6.

#HEHIR et al., Molecular Characterization of Replication-Competent Variants of Adenovirus Vectors and Genome Modifications to Prevent their Occurrence, Journal of Virology, Dec. 1996, pp. 8459-67, Vol. 70, No. 12.

#Pursuant to 37 C.F.R. § 1.98(d), copies of the previously identified patents are not being provided since they were previously cited by or submitted to the Office in the following prior application:

Serial No.: 10/219,414

Filed: August 15, 2002

For: STOCKS OF REPLICATION DEFICIENT ADENOVIRUS, which application is being relied upon for an earlier filing date under 35 U.S.C. § 120.

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This Supplemental Information Disclosure Statement is believed to be filed before the mailing date of the first Office Action on the merits subsequent to the filing of an RCE in the above-identified application.

Respectfully submitted,

Allen C. Turner

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Date: October 4, 2006

ACT/bv

Enclosures: Form PTO/SB/08

Cited Non-U.S. Patent Documents

Document in ProLaw



PTO/SB/08B(10-03)

Approved for use through 7/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO Complete if Known Application Number 10/618,526 INFORMATION DISCLOSURE Filing Date July 11, 2003 STATEMENT BY APPLICANT First Named Inventor Fallaux et al. 1633 Group Art Unit S. Priebe, Ph.D. (use as many sheets as necessary) Examiner Name 2578-3833.9US Attorney Docket Number

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		#Submissions of Patentee to the European opposition proceedings, received at the EPO on Sep 25, 2006, including one cited document: ZAVIZION et al., Transformation of Human Corneal Endothelial Cells by Microinjection of Oncogenes, 1990, Bull Exp Biol Med, pp. 519-22, Vol. 109, Plenum Publishing corporation (listed separately below).		
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		#PER.C6TM Cell Line (Crucell), printout of the third slide of the www.niaid.hib.gov/hivvaccines/pdf/Ledwith.pdf <a href="http://www.niaaid.hib.gov/hivvaccines/pdf/Ledwith.pdf">http://www.niaaid.hib.gov/hivvaccines/pdf/Ledwith.pdf</a> .		

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Substitute for form 1449A/PTO	Complete if Known		
INFORMATION DICCLOSUDE	Application Number	10/618,526	
INFORMATION DISCLOSURE	Filing Date	July 11, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Fallaux et al.	
	Group Art Unit	1633	
(use as many sheets as necessary)	Examiner Name	S. Priebe, Ph.D.	
Sheet 2 of 2	Attorney Docket Number	2578-3833 9HS	

NON PATENT LITERATURE DOCUMENTS					
1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
		#MATSUI et al., Adenovirus 2 Peptide IX Gene Is Expressed Only on Replicated DNA Molecules, Molecular and Cellular Biology, Dec. 1986, pp. 4149-54, Vol. 6, No. 12.			
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